

APPENDIX 2

Progress against the internal audit plan 2023/24

No.	Audit	Status	Overall Assurance	Management Actions					Comments
				Total	Priority 1	Priority 2	Priority 3	Not Agreed	
Key Financial Audits									
1	Payroll	Fieldwork	N/A						
2	Council Tax	Q3 audit	N/A						
3	HB&CTRS	Q3 audit	N/A						
4	Accounts Payable	Q4 audit	N/A						
5	Accounts Receivable	Q4 audit	N/A						
6	Capital Mgt & Accounting	Q4 audit	N/A						
7	General Ledger	Q4 audit	N/A						
8	Treasury Management	Q4 audit	N/A						
9	NNDR	On hold	N/A						Due to operational demands
Operational Audits									
10	Report Lifecycle Process	Completed	Limited	8	2	5	1	0	
11	Workplace Premises*	Draft out	Reasonable	2	0	1	1	0	
12	Elections and Election Payments	Fieldwork	N/A						
13	Safeguarding	Fieldwork	N/A						
14	Travel & Subsistence	Fieldwork	N/A						
15	HR Data Management	Fieldwork	N/A						
16	Risk Management	Fieldwork	N/A						
17	Credit Card Usage	Fieldwork	N/A						
18	Central Government Support Schemes	Q2 audit	N/A						Start date delayed
19	IT Asset Management	Q2 audit	N/A						Start date delayed
20	Cash Management	Q3 audit	N/A						
21	Corporate Delivery Framework	Q3 audit	N/A						
22	Procurement	Q3 audit	N/A						
23	Recruitment, Selection, and Onboarding	Q3 audit	N/A						
24	Complaints	Q4 audit	N/A						
25	Information Security	Q4 audit	N/A						
26	South & Vale Climate Action	Q4 audit	N/A						
27	Community Centres	On hold	N/A						Due to operational demands
28	Diversity & Inclusion	On hold	N/A						Due to operational demands
29	Insurance	On hold	N/A						Due to operational demands
30	Planning Appeals	On hold	N/A						Due to operational demands
Totals				10	2	6	2	0	

*Draft report issued, overall assurance ratings are subject to change